



UNIVERSITY OF KASHMIR, SRINAGAR

Office of the Dean Research

Notification

It is notified for the information of all the concerned that the Vice-Chancellor in exercise of the special powers vested in him under Sub section 4 of section 13 of the Kashmir & Jammu Universities Act 1969 and in-anticipation of the approval of competent bodies, has been pleased to authorize adoption of set of statutes to this notification, governing admission & award of degree of doctor of philosophy (Ph.D).

Notification as well as annexure is available at official website of Dean Research www.deanresearch.uok.edu.in


Assistant Registrar
Research

No: F (Statutes-Ph.D)Res/KU/22

Dated April 13, 2022

Copy for information to the:-

- Principal Secretary, to His Excellency, Lieutenant Governor (Chancellor) Governor's Secretariat, Raj Bhawan, J&K UT, Jammu;
- Commissioner Secretary to Government, Higher Education Department, Civil Secretariat, Jammu/Srinagar;
- Dean Academic Affairs;
- Dean College Development Council
- Director, DIQA
- Deans of all Schools;
- Heads/Co-ordinators of all Teaching Departments;
- Directors of various Research Centres/Institutions;
- Director, Admissions & Competitive Examinations;
- Directors, North Campus/South Campus/Kapwara Campus (Satellite Campuses);
- Director, IT & SS, University of Kashmir. **He is requested to have the notification uploaded on the University website;**
- In-charge Librarian, Allama Iqbal Library;
- Special Secretary to Vice-Chancellor for kind information of the Vice-Chancellor;
- P. A. to Registrar for information of the Registrar;
- P.A. to Controller of Examinations for information of the Controller of Examinations;
- P.A to Dean Research for information of the Dean Research
- File.

STATUTES GOVERNING ADMISSION & AWARD OF DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D), 2021 AND ONWARDS.

1.	<u>Short Title and Commencement:</u>
	<ul style="list-style-type: none"> i) These Statutes shall be called the University of Kashmir statutes governing award of degree of Doctor of Philosophy (Ph.D). ii) These Statutes shall govern admissions leading to the award of Degree of Ph.D to be conferred by the University of Kashmir and shall be applicable from 2021 onwards.
2.	<p><u>Definitions</u> In these Statutes, unless the context otherwise requires:</p> <ul style="list-style-type: none"> a) “Act” means the Kashmir and Jammu Universities Act, 1969; b) “University” means the University of Kashmir as defined under Section 2(k) of the Act ; c) “Statutes” mean Statutes as defined under Section 2 (h) of the Act; d) “Regulations” mean Regulations as defined under Section 2 (h) of the Act; e) “Syndicate” means the Syndicate as constituted under Section 2 of the Act; f) “Academic Council” means the Academic Council as defined under Section 2 (a) of the Act; g) “Research Council” constituted by University Council vide resolution 24-10-2017; h) “College” means a College as defined under Section 2 (c) of the Act; i) “Constituent College” means the Constituent College as defined under Section 2 (d) of the Act; and includes autonomous college designated so by the competent authority; j) “Affiliated College” means an affiliated College as defined under Section 2(b) of the Act; k) “DRC” means Departmental Research Committee as constituted under Statute 4 of these Statutes and would mean the Department/ Centre/ Institute where the candidate is being or has been registered ; l) “BORS” means the Board of Research Studies as defined under Section 2(e) of the Act; m) “BOE” means the Board of Examiners appointed to evaluate and assess thesis of scholars for Ph.D programme. n) “External Examiner” means an examiner who is not on the pay rolls of the University of Kashmir; o) “Registration” means registration of candidates for Ph.D programme granted by the BORS under these Statutes and includes re-registration also; p) “Research Progress Assessment Committee” (RPAC) means a prescribed committee constituted under these Statutes to evaluate and assess the research progress of the registered scholar; q) “Ph.D.” means Doctor of Philosophy as conferred under these Statutes;

3.	<p><u>Recognition of Departments/Centres/Satellite Campuses/Institutions as Research Centres:</u></p> <p>(I) The BORS may on the concurrence of the Research Council or any other competent body recommend to the Syndicate recognition for any Department, Research Centre, Satellite Campus, Institute for conducting courses leading to the award of Ph.D degree in the subjects/allied subjects assigned to it. Provided that the Department, Research Centre, Satellite Campuses, Institute, as the case may be, satisfies the BORS regarding the fulfilment of the following requirements:</p> <ul style="list-style-type: none"> i) Adequate infrastructural facilities are available for carrying out research work in the concerned subject/s; ii) PG Course(s) is/are being offered to the candidates; or iii) it has been established, <i>inter alia</i>, for carrying out research in the discipline in which recognition as research centre is sought; iv) there are at least four faculty members recognized as supervisors/co-supervisors to provide research guidance and in case of less than four supervisors, approved supervisors from allied Departments, Centres, affiliated colleges may be co-opted to make it four. v) a good track record of having carried out national level research projects to be determined by a committee constituted for this purpose by the Dean Research at the request of HOD/ Director of the Departments, Centre, Institute concerned, comprising the following: <ul style="list-style-type: none"> (i) Dean Research (Chairperson) (ii) Dean of the School concerned (iii) HOD/Director of the Allied subject (iv) Expert faculty from concerned subject, wherever necessary (v) Assistant Registrar (Research) (Secretary) <p>(II) Notwithstanding anything contained contrary in clause (I) of Statute 3 of these Statutes, the Syndicate may, on the recommendation of the BORS and Research Council or any other competent body, recognize any other Institution, Research Centre, Laboratory, that may or may not be affiliated to the University of Kashmir for conducting research programmes leading to the award of Ph.D Degree.</p>
4.	<p><u>Constitution of the DRC</u></p> <p>(I) There shall be a Departmental Research Committee (DRC) with minimum four members to monitor research in each Department/Institute/Research Centre/Satellite Campuses recognized for conducting course leading to the award of Ph.D Degree comprising the following:</p> <ul style="list-style-type: none"> i) HOD/Director/Coordinator of the Research Centre/Institute (Chairperson);

	<p>ii) All approved supervisors of the Department/Centre/Institute/Satellite Campuses (Members);</p> <p>iii) Any other faculty/expert/s whose participation might be considered essential by the Departmental Research Committee; and</p> <p>(iv) Observer/s not below the rank of Professor preferably from the allied subject to be nominated by the Vice-chancellor on the recommendation of Dean Research. Provided that in case of the Department/s/Centre/s/Institute/Satellite Campuses where the required minimum number of members is not available at a given point of time, the HOD/Director of that Department/Centre/ Institute/Satellite Campuses shall co-opt members from other allied Department/s/Centre/s on the recommendations of the Departmental Research Committee, after seeking approval of the Vice-Chancellor.</p> <p>(II) The majority of the members including the Chairperson shall form quorum for the meeting of the DRC.</p> <p>Note: Where Assistant Professor is working as coordinator of the Deptt./Centre/ Institute, the Dean of the School concerned shall act as Chairperson of the DRC.</p>
5.	<p><u>Powers & Functions of the DRC</u></p> <p>(I) The DRC shall monitor & facilitate conduct of quality research in the Department/Institute/Centre/Satellite Campus and shall have the following powers and functions, namely:</p> <p>i) Consolidate the number of vacancies indicated by individual supervisors and forward them to the concerned Dean for admission;</p> <p>ii) Recommend the research synopses of candidates selected for Ph.D programme to the Dean of the School for placement before Pre-BORS. Dean of the School shall conduct Pre-BORS meetings with participation of DRC members of other deptts of the School concerned in the meeting. Provided that no such recommendation shall be made by the DRC unless the candidate justifies/defends his/her synopsis before DRC and where the DRC suggests any change/s, in the synopsis, the Chairperson DRC shall ensure that all those changes are incorporated in it and only then the synopsis shall be recommended to BORS for registration. Provided further that the DRC may seek an opinion through Chairperson on the synopsis submitted by the candidate from an expert/s outside the Department/Centre/University/Campus, if deemed necessary, before approving it.</p> <p>iii) ensure strict adherence to research ethics;</p> <p>iv) prescribe the syllabus for course work for Ph.D programme.</p> <p>v) address all complaints/grievances of the scholars and supervisors pertaining to misconduct, misbehaviour, harassment etc. and shall make appropriate recommendations to the Dean of the School and where Chairperson DRC or</p>

	<p>Dean of the School is the supervisor, then matter may be taken up by the Dean Research and where Dean Research happens to be Supervisor of the scholar, the matter shall be referred to Vice-Chancellor.</p> <p>vi) admit the candidate/s to research programme on provisional basis subject to the confirmation by BORS which shall be effective from the date of his/her joining the programme duly allowed by HOD/ Director/Co-ordinator.</p> <p>(II) It shall be obligation of the Chairperson DRC to make efforts to ensure that the decisions in the DRC meeting are taken with consensus of all the members but where such consensus is not possible, then the decision/s of the majority members shall be deemed to be the decision/s of the DRC and, in case of tie, its Chairperson shall have a casting vote.</p>
6.	<p><u>Eligibility for admission to Ph.D Programme</u></p> <p>The candidates interested in seeking admission to Ph.D programme must have secured:</p> <p>(i) 55% marks in aggregate, or its equivalent grade "B" in the UGC 7-point scale or an equivalent grade in a point scale where ever grading system is followed, excluding grace/statutory marks, if any, in Master's Degree in the concerned subject/allied subject from a recognized university or in any recognized Professional Degree declared equivalent to the Master's Degree by the Equivalence Committee of the University or an equivalent Degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognised or authorised by an authority having this mandate or by an Equivalence Committee of the University;</p> <p>(ii) a relaxation of 5% of marks from 55% to 50% or equivalent relaxation of grade shall be allowed for the candidates belonging to SC/ST/OBC (non-creamy layer)/differently abled and other categories of candidates as provided under University Grants Commission (Minimum Standards and Procedure for award of M.Phil/Ph.D Degrees) Regulation-2016.</p> <p><u>Explanation:</u></p> <p>The Departmental Research Committee shall decide which of the subject/s is/are allied to the subject concerned and shall also decide whether the degree shall be given to the candidate in the subject/discipline in which he/she has qualified his/her Master's degree or in the allied subject/discipline in which the candidate is seeking admission. The decision of the DRC shall, however, be subject to the approval of the BORS at the time of registration.</p>
7.	<p><u>Procedure for Admission</u></p> <p>(I) The admission to Ph.D Programme shall be made as per clause (II), (III) and (IV).</p> <p>(II) Subject to Statutes 6 of these Statutes, the eligible candidates other than the candidates exempted from entrance test, shall have to qualify the Entrance Test by securing atleast 50% marks.</p>

Notwithstanding anything contained contrary herein above in clauses (I) and (II), the candidates who have qualified UGC NET/JRF or CSIR-UGC NET/JRF/ICAR-NET/SET/GATE Teacher Fellowship or any other equivalent test declared so by the UGC and (WOS-A/INSPIRE fellows) or have passed M.Phil with least 55% marks or its equivalent grade "B" in the UGC 7-point scale (or an equivalent grade in a point scale where ever grading system is followed) shall be exempted from the Entrance Test. However, a relaxation of 5% of marks from 55% to 50% or equivalent relaxation of grade shall be allowed for the M.Phil pass outs belonging to SC/ST/OBC (non-creamy layer)/persons with disability (PWDs) and other categories of candidates approved by University.

- (III) **JRFs and WOS-A/INSPIRE** fellows with fellowships are to be selected in the first instance against the available vacancies. Where the numbers of vacancies are less than the number of **JRF/WOS-A/INSPIRE** fellows candidates, the selection shall be made on the basis of PG merit.
- (IV) Where the number of candidates exempted from the Entrance Test under Clause (II) above is more than the number of seats available then no Entrance Test will be conducted. **However, from academic session 2022 onwards, selection of other exempted categories together with the candidates who have qualified entrance test with 50% marks**, other than JRFs and WOS-A/INSPIRE fellows with fellowships, shall be made on the basis of overall merit position obtained as per following criteria:

S.No.	Qualification	Points
1.	NET	07
2.	SET/GATE	05
3.	M.Phil	03
4.	UG	20 (on prorata basis)
5.	PG	40 (on prorata basis)
6.	Interaction	25
	Total	100

- (V) The tie in the marks secured by the candidates in the Entrance Test and interaction shall be resolved on the basis of the percentage of marks secured by them in their Master's or Equivalent Degree.
- (VI) Any challenge to answer key of the answer paper shall be resolved on the basis of the Statutes/ Regulations/Guidelines in vogue in the university to this effect pertaining to the Entrance Test for admission to Master's Course and Post Graduate Answer Paper re-evaluation.
- (VII) The list of the qualified candidates along with the waiting list will be prepared by the DRC and will be then forwarded by its Chairperson to the Dean of the School who after satisfying himself/herself about the fairness of the selection will recommend it for approval of Vice-Chancellor through office of Dean Research.

	<p>(VIII) The list of qualified candidates will be notified by the Dean of the School concerned and will be made available on the website of the university.</p> <p>(IX) The entrance test qualified candidates shall be required to appear before the DRC for common interaction along with the exempted candidates other than JRFs and WoS and INSPIRE fellows with fellowships to ascertain (i) student interest (ii) inclination (iii) research aptitude (iv) area of specialization/interest and DRC shall finalize the list of selected candidates and their supervisors.</p> <p>(X) Mere appearance in the list of qualified candidates shall not entitle a candidate to the final selection, if he/she is either found otherwise in-eligible or there is no vacancy available in the Department/Centre/Institute.</p>
8.	<p><u>Entrance Test</u></p> <p>(I) There shall be Entrance Test for candidates other than the candidates mentioned under statute 7 (II) seeking admission to Ph.D Programme.</p> <p>(II) The notification for the Entrance Test for all the Schools shall be issued by the Dean Research twice in a year preferably in January and July.</p> <p>(III) The Directorate of Admission and Competitive examinations of the University shall conduct Entrance Test for eligible candidates.</p> <p>(IV) The Entrance Test Paper shall be arranged by the Dean of the School concerned in consultation with the Chairperson DRC.</p> <p>(V) There shall be single Entrance Test paper with three parts having the following break up: (i) Part -I General Aptitude with emphasis on logical reasoning, graphical analysis, Analytical and numerical ability, quantitative comparisons, puzzles etc. (ii) Part-(II) Basic (conventional) questions on core papers. (iii) Part (III) Advanced (higher value questions) questions on Core papers</p> <p>(VI) The question paper shall have 100 MCQ's with 1 mark each with no negative marks. Break of questions in the question paper shall be as follows: (i) Part -I 20 (ii) Part-II 30 (iii) Part-III 50</p> <p>(VII) The candidates whose result of the final semester of the Master's programme or an equivalent Degree is awaited shall also be allowed to appear provisionally in the Entrance Test, subject to the production of an affidavit duly attested by 1st class Judicial Magistrate to the effect that he/she shall not claim any benefit in case he/she fails to produce final result position with required percentage of his/ her Master's programme by or before the date of declaration of Entrance Test result.</p>
9.	<p><u>Eligibility for Part-time Registration:</u></p> <p>The basic eligibility and admission procedure for part-time registration shall be the same as is applicable to full time registration but part time registration for Ph.D programme shall be open to only:</p> <p>i) University teachers with two years of substantive service; ii) Permanent scientists of nationally recognized institutions with four years of substantive service;</p>

	<p>iii) Govt. College teachers with at least four years of substantive service; iv) Non-teaching employees of the universities/ nationally recognized educational and research institutions with at least four years substantive service; and v) State Govt. employees with at least 4 years of substantive service. vi) Central Govt. Employees with at least 7 years of substantive service.</p> <p>However, the service period in respect of categories from (ii) to (vi) shall be three years from academic session 2022.</p>
10.	<p><u>Joining the Programme:</u></p> <p>(I) The candidates selected for admission leading to the award of Ph.D Degree shall join the course within 30 days and In-service candidates within a period of six months from the issuance of selection list failing which their admission shall automatically stand cancelled.</p> <p>(II) The DRC shall finalize supervisors of the candidates immediately after their joining not later than two weeks on the basis of the area of specialization/interest of supervisor/ scholar/mutual compatibility.</p> <p>(III) The Dean Research shall cause to maintain the list of all the Ph.D registered scholars on the website of the University on year-wise basis which shall include the name of the candidate registered, Programme, topic of research, name of supervisor/co-supervisor, date of enrolment/registration.</p>
11.	<p><u>Course Work for Ph.D Programme:</u></p> <p>(I) The scholars registered for award of Degree of Ph.D shall have to undergo a course work which shall be necessarily completed within 6 months after selection and joining the programme. However, the scholars with M.Phil or any other equivalent degree shall be exempted from any course work for his/her Ph.D programme.</p> <p>(II) The course work shall comprise of three papers with the following break up:</p> <p>(i) Paper-I: Research methodology [including, but not limited to]: research problem, hypothesis, purpose/utility of research, research design, quantitative methods, sampling, computer applications, research ethics, review of published literature in the relevant field, training, lab., field studies etc. The syllabus for this paper shall be drafted by the DRC in consultation with the concerned supervisor.</p> <p>(ii) Paper-II: Recent Advances in the Subject The syllabus for this paper shall be drafted by the DRC based on the recent developments within and outside India on the subject in which Degree shall have to be awarded and shall be common to all the scholars of the Department or group of the Departments or School where ever possible.</p> <p>(iii) Paper-III: Specialized Paper The syllabus for this paper shall be based on recent developments in the area of research and will be drafted by the concerned supervisor and approved by the DRC which shall be set and evaluated by the concerned supervisor.</p>

	<p>(III) The Papers Ist and 2nd shall be arranged by the Dean of the School and Paper 3rd shall have to be procured by him/her from the supervisor concerned through Chairperson DRC and the examination shall be held in accordance with the calendar issued by the Dean of the concerned School/Faculty. Each paper shall carry 150 marks consisting of six units of six credits each. The duration of examination shall be of 03 hours for each paper.</p> <p>Note: Dean of the Concerned School shall organize one week workshop on Research Methodology.</p>
12.	<p><u>Class work for Course Work:</u></p> <p>(I) The Chairperson DRC shall arrange classes for Papers I & II in his/her Department/Centre/Institute/campuses for which approved supervisors in the Department/Centre/Institute/campuses shall be engaged. The specialized paper (Paper III) shall be the responsibility of the supervisor concerned as he/she is drafting the syllabus and shall provide the question paper and evaluate the answer script/s.</p> <p>(II) The Chairperson DRC may arrange extension lectures of reputed resource persons who have made significant contribution in their field of research which is also relevant to the scholars undergoing Class work for Ph.D Programme.</p>
13.	<p><u>Examination for Course Work:</u></p> <p>(I) Subject to provisions of these Statutes, the examination of all the three papers shall be preferably held at the end of 6 months by the respective Deans in accordance with the calendar issued by the Dean of the concerned School.</p> <p>(II) Each paper shall carry 150 marks and a candidate has to secure at least 50% marks in each paper in order to be eligible to continue in the programme and submit the thesis.</p> <p>(III) Notwithstanding anything contained contrary in clause (I) above, where a candidate has failed in any paper/s, he/she can reappear at any time within six months but not before three months from the date of last examination to qualify the said theory examination, if he/she applies for the same.</p> <p>(IV) Where a candidate has failed to secure the required percentage of marks in aggregate in the second attempt, his/her registration shall automatically get cancelled, notwithstanding the fact that the period of registration has not yet expired.</p> <p>(V) Where a candidate is not satisfied with the evaluation of his/her papers, he/she can apply for revaluation which shall be governed by the Statutes/guidelines in vogue in the university for re-evaluation of Answer Papers of Master's Degree.</p>
14.	<p><u>Preparation of Synopsis:</u></p> <p>(I) The course work for Ph. D Programme and preparation of synopsis of the proposed work will be taken up simultaneously.</p> <p>(II) The synopsis on a prescribed format duly signed by the candidate and his/ her supervisor shall be submitted in the office of the Chairperson DRC for its placement in the ensuing DRC.</p>

	(III) The DRC shall vet the synopsis, suggest changes/modifications/alterations, if required, which will be incorporated by the concerned scholar in the synopsis, authenticated by the Chairperson DRC, and will be then processed for its placement in the ensuing PRE-BORS and BORS.
15.	<u>Meetings of the BORS:</u> Subject to section 32 of the Act, the BORS shall preferably meet twice a year depending upon the business available.
16.	<u>Change of Supervisor:</u> (I) Notwithstanding anything contained contrary in these Statutes, change of supervisor can be made in the manner prescribed as follows; (i) Ordinarily the candidate shall continue his/her Ph.D programme under the supervisor selected/allotted to him/her by the DRC; (ii) The change of supervisor can be considered by the DRC on the representation submitted by the scholar with convincing justification duly recommended by the DRC through Head/Director/Coordinator and the Dean of the School concerned for final approval of Vice-Chancellor in anticipation of BORS through office of Dean Research; (iii) Where Dean of the School is the supervisor of the scholar who seeks change of his/her supervisor, then the representation with convincing justification shall be made to the Chairperson DRC who shall recommend and forward it to the BORS for consideration and appropriate decision. (II) Where a supervisor/co-supervisor has left the employment or has proceeded on long leave or is incapacitated or dies, or has expressed his/her inability in writing to supervise, a new supervisor/co-supervisor shall be appointed by Vice-Chancellor on the recommendation of DRC with effect from the date of the DRC meeting through Dean of the School and Dean Research.
17.	<u>Duration:</u> (I) Subject to provisions of these Statutes, the duration of: (i) Ph.D programme shall be of three years but the registration shall remain effective for five years from the date of joining the Department/Centre/Institute. (ii) Duration of Ph.D, in case of M.Phil pass-out scholars, shall be of two years and such candidates can submit their thesis after completion of residency period of two year. However the registration shall remain effective for five years from the date of joining the Department/Centre/Institute/Campus. (II) Notwithstanding anything contained contrary in clause I above, the women registered scholars and persons with more than 40% disability shall have a relaxation of one year for Ph.D in the prescribed duration of registration. (III) Notwithstanding anything contained contrary in these Statutes, the registered women scholars shall be entitled to maternity leave/Child care leave upto 240 days total in the entire duration of registration of Ph.D and this period shall not be considered while computing statutory period of registration or re-registration or residency.

18.	<p><u>Extension of Registration:</u></p> <p>Where a scholar fails to submit his/her Thesis leading to the award of Ph.D within three years from the date of joining the Department/Centre/Institute, he/she shall then apply to the DRC for extension upto two years in the period of registration which may be granted in the manner by the concerned Dean of the School/Dean Research as follows:</p> <ul style="list-style-type: none"> (i) it shall be necessary for the scholar to apply for the extension recommended by the supervisor, ordinarily two months before the expiry of three years of registration by giving convincing reasons for non-submission of his/her Thesis; (ii) the DRC, after satisfying about the genuineness of the reasons stated in the representation, shall recommend and forward it, through Chairperson DRC, to the Dean of the School for approval; (iii) the Dean of the School, after finding sufficient reasons for non-submission of the Thesis, shall grant extension of one year in the registration at the earliest from the date of expiry of period of registration and second extension shall be granted by the Dean Research on the recommendation of DRC through Dean of the School.
19.	<p><u>Re-registration:</u></p> <ul style="list-style-type: none"> (I) The scholar who fails to submit Ph.D Thesis even within the extended period of registration as stipulated in Statute 18 of these Statutes, he/she shall be eligible for re-registration to be granted by the Dean Research on the recommendation of the DRC and the Dean of the concerned School for a maximum period of two years for which the scholar has to pay the prescribed fee. (II) Where a candidate fails to submit Thesis within the re-registration period, he/she shall have to apply afresh after following the laid down procedure for fresh admission.
20.	<p><u>Residency:</u></p> <ul style="list-style-type: none"> (I) The residency period for Ph.D programme shall be of three years. However scholars registered for Ph.D on the basis of M.Phil or L.L.M Degree from recognized institutes with 2 years duration through regular mode shall be for a period of two consecutive years. (II) The residency period for part-time scholars for Ph.D programme shall be of three years. First year to be completed in one go. 2nd year to be completed within two/three spells maximum. Third year to be completed during holidays/weekends/evenings. The residency period for M.Phil based part-time Ph.D candidates shall be of two years. 1st year to be completed in one go from the date of joining the programme. Six months are to be completed within two spells. Remaining six months are to be completed during holidays/weekends/evenings. The Part-time Scholars shall not be allowed to join the programme without production of leave sanction order from his/her parent Deptt. <p>Note: These shall be applicable from academic session-2022</p>

	<p>(III) The Supervisor/Head/Director/Coordinator concerned shall maintain record of attendance which shall have to be produced on demand preferably at the time of seminar presentation of the scholar.</p> <p>(IV) Notwithstanding anything contained contrary in these Statutes, the University teachers having put in at least two years service on substantive basis in the same Department where he/she is registered for his/her Ph. D programme shall be exempted from residency period. However, teachers working in other departments of the university shall have to produce an undertaking to the effect that class work does not suffer through DRC duly recommended by Dean of the School.</p>
21.	<p><u>Absence from Place of Research:</u></p> <p>(I) Notwithstanding anything contained contrary, the Vice-Chancellor on the recommendations of the Supervisor and Chairman DRC, may permit a research scholar registered for pursuing his/her research to go to any other institutions of repute within or outside the country for a period of six months which may be extended for a period of 3 months more on the recommendations of DRC and Dean of the School.</p> <p>(II) Where the scholar has co-supervisor in any other university/Centre/Institute/Laboratory, he/she is permitted to leave the station for six months after seeking prior permission from the Dean Research on an application recommended by the concerned supervisor and forwarded by the Chairperson DRC and he/she shall maintain record of attendance duly authenticated by the co-supervisor which shall form a part of the attendance maintained by the concerned Deptt. where the scholar is registered and shall be considered for computing residency period. The period can be extended for a period of 6 months more on the recommendations of DRC and Dean of the School.</p>
22.	<p><u>Research Supervisor:</u></p> <p>(I) Any teacher working in any Department/Centre/Institute/Directorate of the University and any teacher/eminent scholar/Scientist working in any other University/ Institution/Centre/Laboratory /Govt College, on substantive basis for atleast two years, Ramalingaswamy, Ramanujan and other similar Fellows with two years experience shall be eligible to be the supervisor and co-supervisor respectively for guiding scholars for Ph.D. Programme provided he/she has to his/her credit:-</p> <p>(i) a Ph.D. Degree;</p> <p>(ii) publication of at least five research papers in refereed/UGC approved journals published outside state except in the subject of Kashmiri and like to be determined by the BORS, if he/she is a Professor or Scientist of equivalent rank; or</p> <p>(iii) publication of at least three research papers in refereed/UGC approved journals, except in the subject of Kashmiri and like to be determined by the</p>

	<p>BORS, if he/she is an Associate/Assistant Professor or Scientists of equivalent rank.</p> <p>Explanation-I: Where a Professor has not the required number of publications as stipulated under Statute 22 (ii) of these Statutes but has publications as prescribed for Associate Professor/Assistant Professor, his/her number of vacancies shall be equal to the number of vacancies of an Associate Professor, and where an Associate Professor/Assistant Professor does not have required publications, he/she shall not be recognised as a supervisor, notwithstanding anything contained contrary in these Statutes.</p> <p>Explanation-II The co-supervisor has to produce certificate of the competent authority of his/her place of work certifying his/her recognition as a supervisor and granting permission to act as co-supervisor which has to be submitted before the DRC.</p> <p>(II) The supervisor of the scholar shall be from the same Department/Centre/Institute/satellite campuses of the university where he/she is registered, but a co-supervisor can be appointed from some other Department/ Centre/Institutes within or outside university with the approval of the DRC to facilitate interdisciplinary research or to make use of infrastructure not available in the Department/Centre/Institute of the university where the scholar is registered</p> <p>(III) A supervisor or a co-supervisor can continue to guide his/her research scholar even after his/her superannuation till the award of Degree for which the supervisor or co-supervisor was appointed but cannot be appointed as a supervisor after superannuation.</p> <p>(IV) An approved supervisor can be appointed as a co-supervisor after his/her superannuation upto the age of 65 years.</p>
23.	<p><u>Determination of Vacancies:</u></p> <p>(I) Subject to Statute 22 of these Statutes, the number of vacancies available to a supervisor or co-supervisor shall be determined on the basis of designation.</p> <p>(II) The maximum number of scholars (whole-time and part-time taken together) that an approved supervisor shall be entitled to supervise at a time shall be as under:-</p> <p>(i) Professor/Scientist of an equivalent rank: Eight Ph.D Scholars;</p> <p>(ii) Associate Professor /Scientist of an equivalent rank: Six Ph.D Scholars; and</p> <p>(iii) Asstt. Professor or Scientist of an equivalent rank: Four Ph.D Scholars.</p> <p>Explanation: For the purposes of working out vacancies in any Department/ Centre/Institute, co-supervision of two Ph.D scholars will fill up one vacancy of the approved supervisor and there shall be no difference between full time or part time scholars for the purposes of working out vacancies.</p> <p>(III) 90% of the intake capacity in a Department/Centre/Institute for Ph.D programme shall be offered to candidates who will join on whole-time basis and the remaining 10% for part-time basis.</p>

	<p>Provided that in case the seats fall vacant in part-time category due to non-availability of candidates, the seats shall be offered to the candidates eligible under whole-time category or vice versa.</p> <p>(IV) The Seat is to be declared vacant from the date of submission of thesis by the Scholar.</p>
24.	<p><u>Assessment of Work Progress:</u></p> <p>(I) Every scholar registered for Ph.D shall be required to maintain a data note book (wherever applicable) to meticulously record/append the raw data (observations/photographs/autorads/graphs/consent-forms/survey-responses/other routine collections etc) with dates and tampering free page numbers.</p> <p>(II) The raw data collected by the scholar shall be meticulously recorded/ appended in a data note book on regular basis with dates and endorsement by respective supervisors, such that the data is readily available for assessment on demand.</p> <p>(III) Every scholar registered for Ph.D shall make at least four seminar presentations before the DRC, open to all School members and research scholars, and feedback/suggestions so obtained shall be incorporated in the Thesis.</p> <p>(IV) The Research Progress Assessment Committee(RPAC) shall be constituted by the Chairperson DRC consisting of the following:</p> <ul style="list-style-type: none"> (i) HOD/Director (Chairperson DRC) (ii) Three senior most members of DRC (Members) (iii) Nominee of the Vic-chancellor present in the 2nd and 4th presentation of the scholar. <p>(V) The Research Progress Assessment Committee (RPAC) shall evaluate these reports, certificates/reprint and if required, call the research scholar for any clarification and after being satisfied that the research has been carried out in accordance with its objective will issue a certificate signed by all the members which shall be forwarded to Dean Research for consideration.</p> <p>(VI) Where the Research Progress Assessment Committee is of the considered opinion that the research scholar has to further improve his/her Dissertation/Thesis on the lines suggested by it, further time shall be given to the scholar to give effect to these suggestions which shall be ensured by the Chairman DRC who shall issue a certificate afterwards to the scholar to this effect.</p> <p>(VII) The certificate of the Chairman DRC as required under Clause (VII) and/ or the report of the Research Progress Assessment Committee shall be forwarded to the Dean Research for consideration.</p> <p>(VIII) The Research Progress Assessment Committee shall assess research progress of the scholar during the period of residency before the submission of his /her Thesis.</p> <p>Provided where a candidate is exempted from residency period, he/she shall make</p>

	<p>seminar presentation atleast four times after an interval of six months before he/she submits his/her Thesis.</p> <p>(IX) The seminar presentations in case of Ph.D shall be made twice a year beginning from 2nd year of residency, after an interval of six months and in 2nd and 4th.presentations one nominee of the Vice-chancellor, either from the same School or allied subject shall be included in the DRC for which request has to be made by the Chairman DRC well in advance before fixing the date for such presentation.</p> <p><u>Explanation I:</u> For the candidates exempted from residency, the period of residency would mean the period of registration.</p> <p>(X) Where the candidates are required to put in only two years period of residency, quarterly seminar presentations shall have to be made, beginning from the last quarter of the first year of registration to be made as provided in the above Clauses.</p> <p>(XI) Where a candidate has joined as registered scholar for Ph.D programme but has failed to put in residency period and has opted for extension in registration/Re-registration by virtue of which he/she may be able to put in one/two/three consecutive years of residency as required under these Statutes, his/her calendar of seminar presentations shall be adjusted accordingly by the Chairman DRC.</p> <p>(XII) The DRC shall inter-alia evaluate the progress made by the scholar, assess the attendance records, and make suggestions for improvement/ modification/change/ revision, if required, of the synopsis on a prescribed format.</p>
25.	<p><u>Presentation and Publication of Research Papers :</u></p> <p>(I) Notwithstanding anything contained contrary in these Statutes, no research scholar shall be given academic clearance unless he/she shall have:- Presented two research papers in two different seminars/conferences and one research paper published on the topic of research on which registration for Ph.D was granted but should not be commentary or review.</p> <p>(II) A research scholar shall have to publish one paper during his research period in Peer reviewed/UGC approved journal/standard journals/UGC care list.</p> <p>(III) The research scholar shall have to submit evidence for the same to the DRC in the form of certificate of presentation of paper/s/reprint of the paper or acceptance letter of paper for publication wherever applicable.</p>

26.	<p><u>Academic Clearance:</u></p> <p>(I) Academic clearance shall be a prerequisite for thesis submission.</p> <p>(II) Every scholar shall produce:</p> <ol style="list-style-type: none"> 1. Thesis submission certificate attested by supervisor/Co-supervisors and Head of the Deptt. 2. Whole-time certificate attested by supervisor/Co-supervisors and Head of the Deptt. 3. Attendance certificate attested by supervisor/Co-supervisors and Head of the Deptt. 4. Seminar delivery certificates attested by DRC including VC's observer. 5. Supervisor's Report about research. 6. Four Research Progress Assessment certificates out of which second and fourth should be attested by Vice-Chancellor's Nominee on RPAC. 7. DRC certificate to the effect that the Scholar has presented two research papers in two different seminars/conferences and one research paper published on the topic of research on which registration for Ph.D was granted but should not be commentary or review. DRC should endorse that the research paper has been published in a refereed/UGC approved journal/UGC standard journal/UGC care list. 8. Review committee certificate to the effect that all the approved objectives of the research programme have been fulfilled by the scholar. 9. Similarity Index Report to be obtained from Chief Librarian, Allama Iqbal Library. 10. Affidavit duly sworn before 1st Class Judicial Magistrate mentioning therein that the scholar has pursued his/her research programme on whole-time basis and not working in any Govt./Semi Govt/Private Institution in any capacity (not applicable to part-time scholars). 11. Certificate of Co-supervisor mentioning therein that the scholar has done the research under his/her guidance (in case of external co-supervisor). 12. Result Notification of course work papers for Ph. D scholars and M.Phil notification in case of M.Phil passout candidates. 13. NOCs from concerned Department/Iqbal Library/DSW/Chief Proctor/Provost/Directorate of Physical Education/Sports/Laboratory. 14. The Vice-Chancellor's observers being a member of the DRCs, shall put down his/her name and signatures on all the DRC documents. <p>Note: All the above papers/documents required for issuance of Academic Clearance shall have to be routed through Dean of the School concerned.</p> <p>(III)The academic clearance shall be issued by the Dean Research preferably within a period of 15 days from the date of submission of all the requisite documents in the office of the Dean Research after he/she satisfies himself/herself that all the statutory formalities have been completed.</p>
27.	<p><u>Research misconduct:</u></p> <p>(I) The Electronic version of the Thesis shall be subject to evaluation for non-unique content, copyright violations and any other research misconduct with the help of plagiarism detection software in the manner prescribed in the University of Kashmir Policy for Prevention of Plagiarism, 2017;</p>

	<p>(II) Notwithstanding anything contained contrary in the University of Kashmir Policy for Prevention of Plagiarism, 2017, where a research scholar has not been found guilty of dishonest plagiarism as defined in that policy, he/she shall be asked by the committee prescribed in the Policy to remedy the violation in the manner as laid down in the following provisions of these Statutes;</p> <ol style="list-style-type: none"> i. Where the Committee is of unanimous opinion that the scholar has violated copyright law but there is a scope for modification/alteration/ revision/change in the Thesis so as to make it in conformity with the requirements of Copyright Law within the prescribed statutory time for submission of Thesis, the scholar may be asked to make necessary modifications/alterations/ revision/changes, accordingly but where these changes cannot be effected within the statutory period including extension period, the scholar may be asked to apply for re-registration for this purpose. ii. Where the scholar has exhausted prescribed statutory period, including the period of re-registration but Committee is of unanimous opinion that there is a scope for modification/alteration/ revision/change in the Thesis so as to make it in conformity with the requirements of Copyright Law, the scholar may be asked to make necessary modifications/alterations/ revision/changes, accordingly within the period of six months, notwithstanding the expiry of the prescribed statutory period for submission of thesis. iii. Where the Committee is of the unanimous opinion that copyright violations committed by the scholar cannot be remedied, the thesis shall be rejected, after giving reasonable opportunity of being heard to the scholar.
28.	<p><u>Registration in Abeyance:</u></p> <p>(I) Where a full time scholar of Ph.D has put in one or more years of residency period and gets employment in the Govt. or semi Govt. Department on substantive basis, his /her registration shall remain in abeyance till his/her employer allows him/her to complete remaining period of residency but the period during which registration shall remain in abeyance shall not exceed to three years and the prescribed statutory period for submission of Thesis shall be worked out accordingly, notwithstanding anything contained contrary in Statutes 18, 19 and 20 of these Statutes.</p> <p>(II) The scholar requesting for keeping his/her registration in abeyance shall make an application recommended by the supervisor to the Chairman DRC who shall forward it the Dean of the School for approval of Dean Research.</p>
29.	<p><u>Submission of Thesis:</u></p> <p>(I) The scholar shall submit, after obtaining clearance, 4/6 spiral bound copies of the Thesis along with six abstracts with the prescribed certificate on the first page duly signed by the candidate, the supervisor/co-supervisor concerned, if any, in the Department/Centre/Institute.</p>

	<p>(II) The Thesis, <i>inter-alia</i>, shall be in conformity with the established norms/standards.</p> <p>(III) It shall be in a form suitable for publication.</p> <p>(IV) It shall be computerized/photocopied on paper of 28 cm x 22 cm with a margin of atleast three cm on one side (binding side) and one cm. on the other three sides.</p> <p>(V) The thesis shall be hard bound with black/maroon coloured cloth/Rexene cover (having transparent dust cover), respectively.</p> <p>(VI) The title of the Dissertation/Thesis, name of scholar, name of the Laboratory/Dept./Centre, name of School and month & year of submission shall be printed in bold letters on the face of the cover in golden colour. Field of work/Subject, name of the candidate and Year of Submission shall also be printed in golden colour on the spine of the Thesis.</p>
30.	<p><u>Evaluation of Ph.D Thesis:</u></p> <p>(I) There shall be two external examiners to evaluate the Ph.D thesis submitted by the candidate, who shall be appointed as per the following procedure:</p> <ul style="list-style-type: none"> (i) the supervisor of the scholar shall submit a panel of eight (08) examiners, with complete contact details/specialization to the Chairman DRC concerned who shall submit it to the Dean of the School concerned who shall forward it to the Vice-Chancellor for appointing/nominating two examiners from the panel; (ii) the Vice-chancellor shall appoint two examiners and shall sufficiently indicate three more external examiners out of the panel submitted who could be approached if the first examiner(s) is/are not available for evaluation of Thesis or <i>Viva-Voce</i>; (iii) the external examiners shall be of the rank of a Professor/Associate Professor; (iv) the Dean of the School shall seek consent of the external examiners empanelled by them to accept the assignment, preferably through e-mail, before forwarding the panel for approval that shall form part of the records. <p>(II) The Dean of the School shall arrange to send the thesis along with abstracts to two approved examiners along with instructions in the prescribed form regarding evaluation and submission of the report under a confidential cover.</p> <p>(III) The supervisor shall submit evaluation report/s of the thesis which shall be an internal evaluation report and where there is co-supervisor also, then a joint evaluation report shall have to be submitted by them.</p> <p>(IV) The Dean of the School, on receiving satisfactory report and definite recommendations for the award of Degree of Ph.D from all the three/four examiners, who shall forward them to the Vice-Chancellor for obtaining approval for conducting <i>Viva-Voce</i> by one of the External Examiners, out of the two examiners who have evaluated the thesis.</p>

	<p>(V) The examiners shall ordinarily send the evaluation report within 45 days from the receipt of the thesis but the Dean of the School shall send reminders to the examiner after the expiry of one month from the receipt of the thesis.</p> <p>(VI) Where the reports are not received within the stipulated period of 45 days, and the examiner has either expressed his/her inability or is not responding to the reminders, the Dean of the School shall send the Thesis to the 3rd approved examiner and this process will move on if 3rd examiner has also failed to submit the report.</p> <p>(VII) Where one of the examiners suggests that Thesis be rejected/modified, for the reasons to be recorded, it shall be sent to third approved external examiner and decision will be taken on the basis of his/her report.</p> <p>(VIII) Where two examiners suggest that the modifications be made, then the concerned scholar shall have to make suggested changes within six months and not later than one year from the date the decision is communicated, notwithstanding expiry of the statutory period as stipulated in Statutes 18, 19 and 20 of these Statutes and where two examiners suggest that the Thesis be rejected, it shall be rejected and the research scholar shall be declared ineligible for the award of the Degree on the basis of this Thesis.</p> <p>(IX) The modified thesis shall be evaluated by the original Examiner but where he/she expresses his/her unwillingness to evaluate the Thesis or is not available, then the Thesis shall be sent by the Dean of the School to the examiner from the panel of already approved examiners.</p>
31.	<p><u>Viva-Voce for Ph.D:</u></p> <p>(I) The Dean of the School concerned shall arrange Viva-Voce with the assistance of concerned HOD/Director which shall be open to DRC members, other teaching faculty of the Department, research scholars, PG students of the Department and other interested experts/researchers.</p> <p>(II) For Ph.D programme, the research scholar to defend his/her thesis shall be conducted by the Board of Examiners, comprising the following:</p> <ol style="list-style-type: none"> i. Dean of the School <i>Chairperson</i> ii. HOD/Chairman DRC (Member) iii. Supervisor and co-supervisor (if any) (Member) iv. One of the two external examiners (nominated by the Vice-Chancellor). (Member) v. Where Dean of the concerned School is also holding position of the Chairman DRC, then senior most faculty member in the Department shall be co-opted as member Board of Examiners. vi. Where Dean of a school is the supervisor, Dean Research shall act as Chairman of the Committee.

	<p>(III) The <i>Viva-Voce</i> shall be open and a research scholar shall present the main findings/results of his/her thesis in the form of a seminar lecture, but only members of the Board of Examiners shall ask questions/clarifications from the research scholar.</p> <p>(IV) Where the supervisor (internal examiner) is not available and is not likely to be available in the next two months after the receipt of the last report from the external examiner, the Vice-Chancellor may on the recommendation of the Chairperson DRC and the Dean of the School concerned appoint an approved supervisor of that Department/ Centre/Institute, preferably with the same specialization, as a substitute for supervisor in the Board of Examiners.</p> <p>(V) Where a candidate is not recommended for the award of Degree by the examiners at the time of the <i>Viva-Voce</i>, he/she may be permitted to re-appear in the <i>Viva-Voce</i> to be held not earlier than three months from the date of his/her first <i>Viva-Voce</i>.</p> <p>(VI) The <i>Viva-Voce</i> of the candidate for the second time shall be conducted by the original examiners unless they or any one of them is/are not available or is/are unwilling to act as such and in such cases the substitute examiners shall be appointed preferably from within the already approved panel.</p>
32.	<p><u>Declaration of result and award of the Degree of Ph.D:</u></p> <p>(I) The evaluation report of the course work, copies of three/four reports of the examiners and <i>Viva-Voce</i> report of Ph.D shall be communicated by the Dean of the School to the Controller of Examinations for declaration of the results.</p> <p>(II) The Controller of Examinations shall on the basis of the reports submitted under Clauses I above declare the result of the candidate for the award of Ph.D Degree, as the case may be, after seeking approval from the Vice-chancellor.</p>
33.	<p><u>Publication of Thesis:</u></p> <p>(I) Subject to the provisions contained in these Statutes where a candidate applies for a copy of the report of the examiners, these reports in full or excerpts thereof may be supplied to him/her.</p> <p>(II) The permission to publish the Thesis shall be accorded to the candidate subject to the condition that the suggestions (if any), made by the examiner, in the extract of the reports supplied to the candidate, have been incorporated in the revised Thesis which shall be certified by the Dean of the School concerned.</p> <p>(III) The candidate shall on publication of the Thesis state on its title page that it is a Thesis approved for Ph.D. Degree by the University of Kashmir.</p> <p>(IV) Where full reports are required by any recognized organization /agency, these shall be supplied to such an organization/agency by the Controller of Examinations, after seeking approval from the Vice-chancellor.</p> <p>(IV) The Chairperson DRC shall get one copy of the thesis accepted by the University for the award of Degree deposited in the Allama Iqbal Library and one/two copies shall be deposited in Departmental Library.</p>

Repeal:

- (I) The Statutes governing admission and award of Integrated Doctor of Philosophy (M.Phil & Ph.D) or any other Statutes governing admission and award of Degree of M.Phil/Ph.D are hereby repealed.
- (II) Notwithstanding anything contained contrary in clause (I) above, the Statutes governing the award of M.Phil/Ph.D/Integrated Ph.D prior to their repeal shall continue to govern the scholars registered under these statutes till the award of the Degree for which they were registered or till the statutory period of registration/extension/re-registration expires whichever is earlier.

Removal of difficulties:

In case any difficulty arises in interpretation of these statutes or incorporation of any new UGC Regulation/notification(s), the difficulties shall be removed or incorporation shall be done by a committee comprising of five members from Sub-Committee of Standing Operations Committee of University Research Council under the chairmanship of the Hon'ble Vice-Chancellor.